

CERTIFICATE OF MAILING

I hereby certify that ans correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Arlington, VA 22202, on the date appearing below.

ELI LILLY AND COMPANY

By KS K-loades

Date 6-20-0

PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	: John M. Beals, et al.	)
Serial No.	: 09/856,451	) Confirm. No.:
Filed	: May 22, 2001	) 8155 )
For	: ERYTHROPOIETIC COMPOUNDS	)
Docket No.	: X-12553	) }

## RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

Assistant Commissioner for Patents Arlington, VA 22202

Sir:

This is in response to a Notification Of Missing

Requirements dated April 22, 2002, noting the absence of the

nucleotide and/or amino acid sequence requirements in the

papers filed with the above-identified application.

Enclosed herewith are: 1) a copy of the Notice, 2)
Amendment Directing Entry Of Sequence Listing Into The
Specification, 3) a computer readable form of the Sequence
Listing, 4) a paper copy of the Sequence Listing, and 5) a
statement that the contents of the paper and the computer
readable form of the Sequence Listing are the same and include
no new matter.

Applicants submit that no additional fee is required.

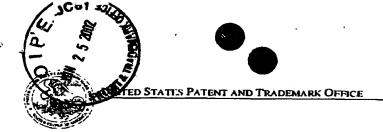
Respectfully submitted,

Mark J. Stewart

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Eli Lilly and Company Patent Division P.O. Box 6288 Indianapolis, Indiana 46206-6288

June 17, 2002



Commissioner for Patents Box PCT United States Patent and Trademark Office Washington, D.C. 2023

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO 09/856,451 John Michael BEALS X-12553

INTERNATIONAL APPLICATION NO

PCT/US99/27801

Eli Lilly and Company Lilly Corporate Center Indianapolis, IN 46285 I.A. FILING DATE 11/23/1999

PRIORITY DATE 11/30/1998

CONFIRMATION NO. 8155 **371 FORMALITIES LETTER** 

\*OC000000007918488\*

Due-6-22-02

Date Mailed: 04/22/2002

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Assignee Statement
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

RECEIVED

APR 30 2002

ELI LILLY AND CO. PATENT DIVISION

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing—in computer readable form has not been submitted as required by 37 CFR 1.821(e).
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- **APPLICANT MUST PROVIDE:** 
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
  - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase Patentin Software, call (703) 306-2600
  - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FRANCINE YOUNG
Telephone: (703) 305-3662

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO	INTERNATIONAL APPLICATION NO	ATTY DOCKET NO
09/856,451	PCT/US99/27801	X-12553

FORM PCT/DO/EO/905 (371 Formalities Notice)